07/12/2016 Notice Number









NOTICES

| Notice No. | 20160711-11 | Notice Date | 11 Jul 2016 |
|------------|---|-------------|-------------|
| Category | Compliance | Segment | General |
| Subject | Client Registration Documents in Vernacular Languages | | |

Content

This has reference to the SEBI circular CIR/MIRSD/16/2011 dated August 22, 2011 on client registration documents. In order to facilitate better understanding of the registration documents by the investors, Exchange is pleased to provide the following documents in 15 regional vernacular languages:

- Document stating the Rights & Obligations of stock broker, sub-broker and client for trading on exchanges (including additional rights & obligations in case of internet / wireless technology based trading)
 Uniform Risk Disclosure Documents (for all segments / exchanges)
 Guidance Note detailing Do's and Don'ts for trading on exchanges

The above mentioned documents in the vernacular languages are available on BSE website at http://www.bseindia.com/investors/client_regislanguages.aspx?expandable=3 and can be downloaded. Trading Members are advised to make available the documents to their clients on demand and also display the same on their own website.

It may be noted that the documents are a translated version of the documents in English and for reference purpose only. In case of any ambiguity the contents of the English version would prevail.

Members are advised to take note of the above and ensure compliance with the same.

For and On behalf of BSE Ltd.

Hitasha Gurbani Asst. Gen. Manager **Broker Supervision** Chirag Udani Manager **Broker Supervision**

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