

Annexure C: - FAQs

1. What are the various guidelines related to this circular?

SEBI/HO/MIRSD/MIRSD-PoD-1/P/CIR/2023/30 dated February 15, 2023 on Maintenance of a website by stock brokers and depository participants and SEBI/HO/MIRSD/DOP/P/CIR/2021/676 dated December 02, 2021 on Publishing Investor Charter and disclosure of Investor Complaints by Stock Brokers on their websites.

2. When will the circular come into effect?

The provisions of this circular shall come into effect from August 16, 2023.

3. What is the last date to comply with the circular and report to the Exchange?

The last date to comply with the circular and report to the Exchange is within a week of this circular coming into effect i.e., August 24, 2023.

4. Which Members are exception to this circular?

This circular is applicable to the Members dealing in clientele business (i.e., clients mapped to them). The same is not applicable to the Members dealing in Proprietary trading or Institutional Business with no client base.

5. Are there any additional guidelines on the URL to the website?

The URL provided should directly take to the main website of the Member. In case the Member is a subsidiary company, the website should be in the name of the Member and not the Parent company.

6. What is the frequency of the updation of details on the website?

The details displayed on the website should be updated at all points in time